
From: Barry Thom - NOAA Federal <barry.thom@noaa.gov>
Sent: Thursday, August 9, 2018 9:51 AM
To: Jeff McLain - NOAA Federal
Cc: Maria Rea; Barbara Byrne; Yip, 'Garwin'
Subject: Re: Okay to share our comments on Reclamation's draft Initial Actions?

Jeff,

Feel free to allow BOR to share the comments. - Barry

On Thu, Aug 9, 2018 at 8:12 AM, Jeff McLain - NOAA Federal <jeff.mclain@noaa.gov> wrote:

Hi Barry - follow up from our discussion on the CV call Monday. Reclamation would like to forward our comments on the initial actions to the water users. Comments from other agencies are going to be sent by Reclamation. I went through these quickly (see email from Garwin) and recommend we allow Reclamation to send them. Just wanted your concurrence. I know this can be sensitive.

Jeff

Jeffrey McLain
Division Manager
National Marine Fisheries Service
California Central Valley Office
Office: 916 930-5647
Mobile: 916 600-5410
Jeff.McLain@noaa.gov

----- Forwarded message -----

From: **Barbara Byrne - NOAA Federal** <barbara.byrne@noaa.gov>
Date: Wed, Aug 8, 2018 at 1:28 PM
Subject: Okay to share our comments on Reclamation's draft Initial Actions?
To: "jeff.mclain@noaa.gov" <jeff.mclain@noaa.gov>
Cc: Garwin Yip - NOAA Federal <garwin.yip@noaa.gov>, Maria Rea <maria.rea@noaa.gov>, "Howard.Brown" <Howard.Brown@noaa.gov>, "Keifer, Christopher" <Christopher.Keifer@noaa.gov>

Jeff -- Per our discussion, I am forwarding our comments on the draft Initial Actions. Katrina Harrison, Reclamation's Project Manager for the reinitiation effort, asked last week if we had concerns about her sharing our comments with the water users, and she asked again on our Delta boat tour yesterday. I mentioned this on Monday's CV call, and Barry noted that he hadn't seen our comments yet. As Acting ARA, can you please check in on this with Barry?

Howard, Garwin, and I all support letting Katrina share our comments. Chris Keifer did not flag any concerns, noting "I have no specific concerns. Generally, comments on NEPA documents received by the lead agency are part of a public process and subject to FOIA unless they contain some information that fits into a FOIA exemption, which I do not anticipate here, especially

because our comments appear to have been sent to California DWR. With some very limited exceptions not applicable here, our communications with DWR are not protected by any privilege."

FYI, on the boat tour yesterday (which included other agency members of the Reinitiation effort core team), we heard that FWS, DWR, and CDFW have given Katrina the okay to share their comments on the draft Initial Actions document.

My recommendation would be to give Katrina the okay to share our comments.

Barb

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From: **Garwin Yip - NOAA Federal** <garwin.yip@noaa.gov>

Date: Mon, Jul 16, 2018 at 9:22 PM

Subject: Fwd: ROC Core Team - NMFS' comments on Draft Proposed Action

To: "'Maria.rea@noaa.gov'" <Maria.rea@noaa.gov>, Howard Brown <Howard.Brown@noaa.gov>, Cathy Marcinkevage <cathy.marcinkevage@noaa.gov>, Barbara Byrne <barbara.byrne@noaa.gov>, Doug Hampton <douglas.hampton@noaa.gov>, Jeff Stuart <j.stuart@noaa.gov>, Kristin McCleery - NOAA Affiliate <kristin.mccleery@noaa.gov>, Melanie Okoro - NOAA Federal <Melanie.Okoro@noaa.gov>, Sarah Gallagher - NOAA Federal <sarah.gallagher@noaa.gov>

FYI

-Garwin-

Garwin Yip

Water Operations and Delta Consultations Branch Chief

NOAA Fisheries West Coast Region

U.S. Department of Commerce

California Central Valley Office

650 Capitol Mall, Suite 5-100

Sacramento, CA 95814

Office: 916-930-3611

Cell: 916-716-6558

FAX: 916-930-3629

www.westcoast.fisheries.noaa.gov



----- Forwarded message -----

From: **Garwin Yip - NOAA Federal** <garwin.yip@noaa.gov>

Date: Mon, Jul 16, 2018 at 9:17 PM

Subject: Re: ROC Core Team - NMFS' comments on Draft Proposed Action

To: "Harrison, Katrina" <kharrison@usbr.gov>, "Messer, Dean@DWR" <dean.messer@water.ca.gov>, "Jacobs, Brooke@Wildlife" <brooke.jacobs@wildlife.ca.gov>, Kaylee Allen <kaylee_allen@fws.gov>, Jana Affonso <jana_affonso@fws.gov>, Luke Davis <ldavis@usbr.gov>, Armin Halston <ahalston@usbr.gov>, Daniel Cordova <dcordova@usbr.gov>, "Chao, You Chen@DWR" <youchen.chao@water.ca.gov>, "Cindy@DWR Messer" <cindy.messer@water.ca.gov>, "Ford, John@DWR" <john.ford2@water.ca.gov>, "Wilkinson, Chris@DWR" <christopher.wilkinson@water.ca.gov>, Carolyn Bragg <cbragg@usbr.gov>, "Kuenster, Gail@DWR" <gail.kuenster@water.ca.gov>, "Spanglet, Harry@DWR"

<harry.spanglet@water.ca.gov>, Kim Squires <kim_squires@fws.gov>, Katherine Sun <katherine_sun@fws.gov>, Carl Wilcox <carl.wilcox@wildlife.ca.gov>, Russell Grimes <rwgrimes@usbr.gov>, "Pinero, Janice" <jpinero@usbr.gov>, "Kirkland, Marianne@DWR" <marianne.kirkland@water.ca.gov>, "Allison, Anna@Wildlife" <anna.allison@wildlife.ca.gov>, "Flahive, Kaitlin" <kflahive@usbr.gov>, Justin Ly <justin.ly@noaa.gov>, Mario Manzo <mmanzo@usbr.gov>, Michelle Banonis <michelle.banonis@water.ca.gov>, "McCalvin, Catherine@DWR" <catherine.mccalvin@water.ca.gov>, "Kundargi, Kenneth@Wildlife" <kenneth.kundargi@wildlife.ca.gov>, David Mooney <dmmooney@usbr.gov>, Benjamin Nelson <bcnelson@usbr.gov>

Katrina,

Thanks for the opportunity to provide technical assistance as Reclamation develops the interim actions pursuant to the reinitiation of consultation on long-term operations of the Central Valley Project and State Water Project (ROC on LTO). Attached is the draft EA outline with NMFS' technical comments in track changes. In addition, NMFS offers some "higher elevation" comments, below:

-- As you requested, despite the document being a NEPA document, NMFS' comments were made in order to assist Reclamation in the development of an ESA biological assessment (BA).

-- To the extent that the draft EA is not complete (e.g., "Affected Environment and Environmental Consequences" section, which may crosswalk to the effects analysis in a BA), NMFS will likely have additional comments in subsequent drafts.

-- As you know, Reclamation requested the ROC on LTO on August 2, 2016, "...based on new information related to multiple years of drought, recent data demonstrating extremely low listed-salmonid population levels for the endangered winter-run Chinook salmon, and new information available and expected to become available as a result of ongoing work through collaborative science processes." To the extent that the proposed interim actions are part of ROC on LTO, they should help address or alleviate the concerns and rationale for the reinitiation request.

-- NMFS understands that Reclamation is operating on a very tight and aggressive timeline for the interim actions in order to hopefully receive ESA compliance within calendar year 2018. However, it is equally important to ensure that the proposed action is well thought out and detailed enough for Reclamation to be able to analyze the effects of each action and ensure that it is equally or more protective than the RPA actions they intend to replace. For example:

++ Reclamation proposes to change the current real-time decision making process so that Reclamation is the final decision maker based on DOSS feedback and other things. However, Reclamation has not provided enough details regarding what will be entailed in its risk assessment, and what potential action response would be warranted (for example, would it be the -3,500 cfs and -2,500 cfs OMR in the current NMFS RPA)?

-- NMFS strongly encourages that Reclamation coordinate closely with DWR and ensures that DWR is an equal partner in the proposal and subsequent implementation of the interim actions. To the extent that the operations in the Delta are governed by the Coordinated Operations Agreement, DWR partnership and California ESA compliance would be necessary.

-- NMFS appreciates Reclamation's attempt to seek out newer science since the issuance of the 2008 and 2009 BiOps. However, it is very difficult (and even moreso given the time constraints) to accurately summarize any given document or report in a sentence or 2 [e.g., SST (2017)]. As provided in the comment bubbles, Reclamation should provide contexts (e.g., study limitations) to their quotes of the various reports, and even counterarguments.

-- Various technical teams were developed to assist Reclamation in evaluating the newer science associated with each interim action type. In addition to reviewing the newer science, NMFS encourages Reclamation to reconvene the technical teams to help address the various comments and help further develop the interim actions.

NMFS reiterates the red flags identified in the e-mail, below, in addition to the attached critical success factors.

Attached is also selected Delta-related references (2008 or later) potentially relevant to the ROC on LTO effort.

Again, thank you for the opportunity to comment.

-Garwin-

Garwin Yip

Water Operations and Delta Consultations Branch Chief

NOAA Fisheries West Coast Region

U.S. Department of Commerce

California Central Valley Office

650 Capitol Mall, Suite 5-100

Sacramento, CA 95814

Office: 916-930-3611

Cell: 916-716-6558

FAX: 916-930-3629

www.westcoast.fisheries.noaa.gov



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From: **Garwin Yip - NOAA Federal** <garwin.yip@noaa.gov>

Date: Fri, Jun 29, 2018 at 9:42 AM

Subject: Re: ROC Core Team - Draft Proposed Action--NMFS' red flags

To: "Harrison, Katrina" <kharrison@usbr.gov>, "Messer, Dean@DWR" <dean.messer@water.ca.gov>, "Jacobs, Brooke@Wildlife" <brooke.jacobs@wildlife.ca.gov>, Kaylee Allen <kaylee_allen@fws.gov>, Jana Affonso <jana_affonso@fws.gov>, Luke Davis <ldavis@usbr.gov>, Armin Halston <ahalston@usbr.gov>, Daniel Cordova <dcordova@usbr.gov>, "Chao, You Chen@DWR" <youchen.chao@water.ca.gov>, "Cindy@DWR Messer" <cindy.messer@water.ca.gov>, "Ford, John@DWR" <john.ford2@water.ca.gov>, "Wilkinson, Chris@DWR" <christopher.wilkinson@water.ca.gov>, Carolyn Bragg <cbragg@usbr.gov>, "Kuenster, Gail@DWR" <gail.kuenster@water.ca.gov>, "Spanglet, Harry@DWR" <harry.spanglet@water.ca.gov>, Kim Squires <kim_squires@fws.gov>, Katherine Sun <katherine_sun@fws.gov>, Carl Wilcox <carl.wilcox@wildlife.ca.gov>, Garwin Yip - NOAA Federal <garwin.yip@noaa.gov>, Russell Grimes <rwgrimes@usbr.gov>, "Pinero, Janice" <jpinero@usbr.gov>, "Kirkland, Marianne@DWR" <marianne.kirkland@water.ca.gov>, "Allison, Anna@Wildlife" <anna.allison@wildlife.ca.gov>, "Flahive, Kaitlin" <kflahive@usbr.gov>, Justin Ly <justin.ly@noaa.gov>, Mario Manzo <mmanzo@usbr.gov>, Michelle Banonis <michelle.banonis@water.ca.gov>, "McCalvin, Catherine@DWR" <catherine.mccalvin@water.ca.gov>, Patricia Idlof <pidlof@usbr.gov>, "Kundargi, Kenneth@Wildlife" <kenneth.kundargi@wildlife.ca.gov>, David Mooney <dmmooney@usbr.gov>, Benjamin Nelson <bcnelson@usbr.gov>

Katrina,

As we have discussed in multiple core team meetings, and individually, the timelines for all 3 "tracks" of the Reinitiation of Consultation on Long-Term Operations (ROC on LTO) are very aggressive, so that any slippage in either content or date can upset the rest of the timeline, and possibly the timeline for other tracks. Therefore, I offer the attached critical success factors that would help us to meet

Reclamation's expectations in the Gantt charts. I understand that Maria has shared the attached with Federico.

NMFS is continuing to review the draft EA outline and proposed near-term actions, and will send over a more detailed review in track changes by July 11. However, I wanted to give you a heads up of some red flags that were previously discussed at core team meetings and also based on a cursory review of the attached draft Environmental Assessment (EA) outline:

-- There were a lot of ideas mentioned within each action type (e.g., I:E ratio) during the various interagency and stakeholder meetings and brainstorming workshops. Some of those ideas, to show sideboards of consideration, should be disclosed in the alternatives considered but not further developed section.

-- Please define "...additional adverse effects..." As I've suggested, "equally or more protective than the existing RPA action" is a tighter metric, should help Reclamation analyze the effects of the near-term actions, and should help with ESA compliance, but the former still shows up multiple times and is the threshold Reclamation appears to be using.

EA section 2.2.1-- OMR reverse flows:

1. The existing RPA Action IV.2.3 provides several flexibilities, for example:
 - a. establishing a minimum older juvenile Chinook salmon loss density of 2.5 fish/TAF (for example, the current JPE-based loss density trigger would have been 1-1.5 fish/TAF
 - b. not including a fish trigger for young-of-year spring-run Chinook salmon, and therefore, protecting them only to the extent that the OMR at -5,000 cfs would
 - c. recent annual approval of the rapid genetic analysis protocol, which specifically protects genetic winter-run, but not yearling spring run that standard length-at-date OMR triggers would protect
2. Reclamation's proposed changes to standard OMR flow management would likely reduce the protections afforded to the listed anadromous fish species in RPA Action IV.2.3 by constricting the window of OMR management, proposing a higher bar in order to change operations, eliminating the fish density triggers, deferring fish protection decisions to Reclamation, and proposing to operate to the incidental take limits, all of which do not indicate equal or more protection to the listed species.
3. OMR Flow Management Action IV.2.3 was not explicitly intended to protect against population effects (for that matter, none of the individual RPA actions), and therefore, should not be the evaluation metric in order to determine "additional adverse effects."
4. If the onset of the OMR action is based on presence of listed species in the Delta, then it should not explicitly exclude an onset prior to January 1
5. The way the proposed OMR action reads and a preponderance of evidence and scientific certainty necessary in order to warrant protecting listed anadromous fish species in the delta, Reclamation will not likely change its operations to a less negative OMR than -5,000 cfs.
6. The EA implies that rearing juvenile salmonids are not vulnerable to the influences of exports, and therefore, do not need protection. This may be a conceptual model with no studies or evidence to substantiate.
7. Storm flexibility (WIIN Act Section 4003): The action needs (more) details in order to better understand what is actually proposed, and especially what and how Reclamation will determine "additional adverse effects" in its risk assessment. It should also provide sideboards, for example, duration of increased exports, negative OMR limit, or export limit.
8. Rapid Genetics Protocol:
 - a. The current annual approval of the rapid genetic analysis protocol over the last 2 years already provides flexibility in 2 ways:
 - i. "Reverses" false positives. As I understand, during water year 2018, those reversals saved (or provided an additional) 15 TAF of water supply
 - ii. It targets genetic winter-run, whereas the current fish density triggers protect older juvenile Chinook salmon. Although the focus of the older juvenile Chinook salmon is to protect winter-run, it also protects yearling spring-run Chinook salmon.
 - b. If/Since Reclamation is proposing to eliminate the fish density triggers (which I am not a proponent of), then why the need for rapid genetic analysis?

c. The current practice is for Reclamation (and DWR) to implement an action response "immediately" upon exceeding a fish trigger, while sending tissues for rapid genetic analysis. That immediate action response is already approximately 3 days out from the trigger exceedance. The rapid genetics analysis protocol delays that protection by up to another 2 days....and only for winter-run. I'm not sure how that could be equally protective.

EA section 2.2.2-- I:E ratio:

++ Statistical significance should not be the threshold to determine whether there are actual effects. The lack of statistical significance should not be "evidence" of the opposite, that is, no export effect, and therefore, a proposed action that increases exports from the current RPA Action IV.2.1. Rebecca Buchanan conducted a power analysis to determine the sample size necessary to detect various levels of survival differences, and they were considerably higher than any sample size from the 6-year study.

++ As with the VAMP studies, the 6-year study was only able to test a narrow window of conditions (flows at Vernalis and exports), and 3 of the 4 years that we have results, the water year type was dry or critical.

++ Any indication that a 1:1 I:E ratio would incentivize sales, transfers, etc., appears to be conceptual, so that if there are no (or little) transfers, the flow at Vernalis could be the same as current implementation of RPA Action IV.2.1, with an increased risk of the effects associated with increased exports.

++ The baseline is the construction and operation of the Head of Old River Barrier (HORB) each year. I heard rumblings that part of the proposal is to not construct and operate the HORB. If correct (which I am not a proponent of), that should be included in the proposal, but that's a red flag that to the extent that any given near-term action is intended to be minor adjustments to RPA actions that are equally or more protective to the species, proposing to not construct and operate a HORB is a change in the baseline.

++ The second phase of the 6-year study is like adaptive management, that is, conduct a study, then adjust accordingly. Here, the proposed action is to implement changes to the action, then conduct the study to fill in hydrologic and data gaps to learn. The benefit of the doubt should go to the species, not water supply.

-Garwin-

Garwin Yip

Water Operations and Delta Consultations Branch Chief

NOAA Fisheries West Coast Region

U.S. Department of Commerce

California Central Valley Office

650 Capitol Mall, Suite 5-100

Sacramento, CA 95814

Office: 916-930-3611

Cell: 916-716-6558

FAX: 916-930-3629

www.westcoast.fisheries.noaa.gov



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From: **Harrison, Katrina** <kharrison@usbr.gov>

Date: Tue, Jun 19, 2018 at 6:12 PM

Subject: ROC Core Team - Draft Proposed Action

To: "Messer, Dean@DWR" <dean.messer@water.ca.gov>, "Jacobs, Brooke@Wildlife"

<brooke.jacobs@wildlife.ca.gov>, Kaylee Allen <kaylee_allen@fws.gov>, Jana Affonso

<jana_affonso@fws.gov>, Luke Davis <ldavis@usbr.gov>, Armin Halston <ahalston@usbr.gov>, Daniel

Cordova <dcordova@usbr.gov>, "Chao, You Chen@DWR" <youchen.chao@water.ca.gov>, "Cindy@DWR"

Messer" <cindy.messer@water.ca.gov>, "Ford, John@DWR" <john.ford2@water.ca.gov>, "Wilkinson, Chris@DWR" <christopher.wilkinson@water.ca.gov>, Carolyn Bragg <cbragg@usbr.gov>, "Kuenster, Gail@DWR" <gail.kuenster@water.ca.gov>, "Spanglet, Harry@DWR" <harry.spanglet@water.ca.gov>, Kim Squires <kim_squires@fws.gov>, Katherine Sun <katherine_sun@fws.gov>, Carl Wilcox <carl.wilcox@wildlife.ca.gov>, Garwin Yip - NOAA Federal <garwin.yip@noaa.gov>, Russell Grimes <rwgrimes@usbr.gov>, "Pinero, Janice" <jpinero@usbr.gov>, "Kirkland, Marianne@DWR" <marianne.kirkland@water.ca.gov>, "Allison, Anna@Wildlife" <anna.allison@wildlife.ca.gov>, "Flahive, Kaitlin" <kflahive@usbr.gov>, Justin Ly <justin.ly@noaa.gov>, Mario Manzo <mmanzo@usbr.gov>, Michelle Banonis <michelle.banonis@water.ca.gov>, "McCalvin, Catherine@DWR" <catherine.mccalvin@water.ca.gov>, Patricia Idlof <pidlof@usbr.gov>, "Kundargi, Kenneth@Wildlife" <kenneth.kundargi@wildlife.ca.gov>, David Mooney <dmmoney@usbr.gov>, Benjamin Nelson <bcnelson@usbr.gov>

Hello all -

Attached is our very DRAFT possible proposed action for the Near-term (formerly Track 1) aspect of the ROC on LTO.

We can discuss this in more detail at the Core Team meeting tomorrow in West Sacramento.

We would appreciate any comments you may have and, in particular, science we may have missed.

Thank you,

Katrina Harrison

On Fri, Jun 15, 2018 at 2:07 PM, Harrison, Katrina <kharrison@usbr.gov> wrote:
Hello all -

Attached is a draft agenda for the agency meeting we have next week. It is:

- Wednesday, June 20
- 10 am
- DWR: 3500 West Industrial Blvd, West Sacramento

We hope to send you the Proposed Action for Near-term actions early next week, so we can discuss it at this meeting.

Thank you,

Katrina Harrison
Bay-Delta Office
Bureau of Reclamation
Office: (916) 414-2425
Cell: (916) 606-8793

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Katrina Harrison
Bay-Delta Office
Bureau of Reclamation
Office: (916) 414-2425
Cell: (916) 606-8793

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Barb Byrne
NOAA Fisheries West Coast Region
U.S. Department of Commerce
Office: 916-930-5612
Mobile (no reception at my desk): 916-761-9952
barbara.byrne@noaa.gov
California Central Valley Office
650 Capitol Mall, Suite 5-100
Sacramento, CA 95814



Find us online

www.westcoast.fisheries.noaa.gov



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Barry Thom
Regional Administrator
Office: 503-231-6266



Find us online

www.westcoast.fisheries.noaa.gov